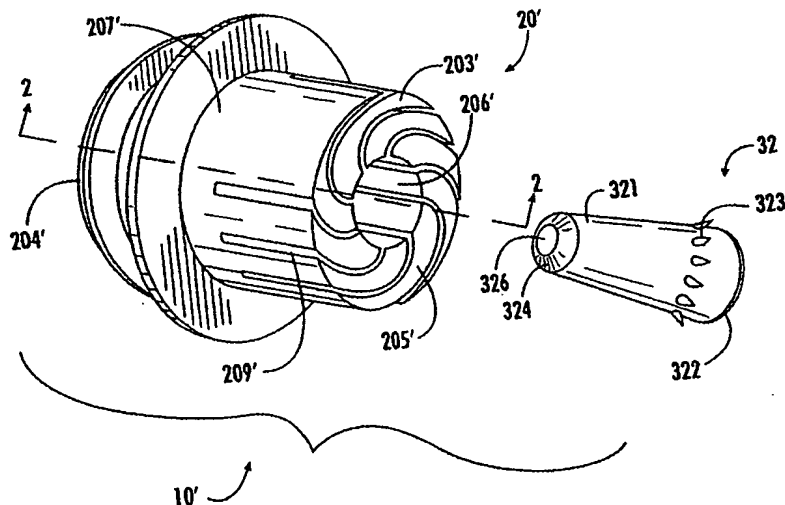




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US99/24041 <b>(22) International Filing Date:</b> 21 October 1999 (21.10.99) <b>(30) Priority Data:</b> 09/178,336                      23 October 1998 (23.10.98)                      US <b>(71)(72) Applicant and Inventor:</b> PIERSON, Raymond, H., III. [US/US]; Suite 102, 62 West Columbia Street, Orlando, FL 32806 (US). <b>(72) Inventor:</b> JUSTIN, Daniel, F.; 185 North Winding Way, Logan, UT 84321 (US). <b>(74) Agent:</b> HARTT, Jacqueline, E.; Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A., Suite 1401, 255 South Orange Avenue, P.O. Box 3791, Orlando, FL 32802-3791 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>

(54) Title: BONE CANAL PLUG, METHOD OF MAKING



## (57) Abstract

A plug system for preventing material from extruding beyond a desired location in a bone tunnel includes a plug member that has an insertion axis extending from a first end and a plurality of interleaving arms disposed about the insertion axis. The arms are affixed at a second end and are flarable at the first end between an insertion position wherein the arms are relatively close packed and a position wherein the arms are flared. A portion of each arm remains in overlapping relation to an adjacent arm. The system further includes an expansion member that has an insertion portion adapted to separate the arms upon progressive entry along the insertion axis from the first end. In use the plug can be inserted either first end first, with the expansion member drawn into the plug by a manipulator, or second end first, with the expansion member driven along the insertion axis.

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**BONE CANAL PLUG, METHOD OF MAKING****BACKGROUND OF THE INVENTION****Field of the Invention**

5           The present invention relates to articles and methods for blocking a flow of material within a bone canal, and, more particularly, to canal plugs and associated methods.

**Description of Related Art**

10           In procedures in which an implant is placed within a bone canal it is typical to anchor a distal portion of the implant with a cement material that flows in a liquid state and hardens upon drying. Once sufficient cement has been added under pressure to the canal and permitted to partially dry, the implant distal end is inserted, with a complete drying of the cement achieving a permanent fixation within the canal. In such procedures it is undesirable for the flowing cement to continue beyond the desired implant site, under pressure from the  
15           insertion of the implant, since such a displacement would lessen the amount of cement available to achieve optimal fixation.

          Such procedures include hip replacement, which involves cutting off the head of the femur, opening the top of the femur, and cleaning out the canal to a length commensurate with the length of the implant stem. The canal is then plugged at the bottom of the cleaned-  
20           out space, the implant stem is inserted, and cement is forced around the pin to keep it in place.

          The femoral canal plugs used at present are typically provided in a set of incrementally sized high-molecular-weight polyethylene truncated cones that are force-fit into the canal. However, femoral canals are not circular in cross section, but are oval or elliptical.

Using a plug having a circular cross section thus leaves gaps surrounding the plugs through which cement may leak and extrude down the canal potentially as far as the knee region.

A further problem can occur with a plug that does not conform sufficiently well to the canal: With modern cement techniques, under the high pressures with which the cement is forced into the canal, plug "blowout" can occur, forcing the plug below the desired location. If these cases go on to require revision surgery at a later time, which occurs with a frequency of 5-10%, a very extensive and potentially destructive (relative to bone stock) operation must be undertaken to remove the cement.

Several intramedullary bone plugs are known in the art, including those that have elements adapted to change shape or expand after insertion into the canal. Among these are those disclosed by Seidel et al. (Eur. Pat. Appl. No. 0 006 408), Haynes (U.S. Pat. No. 4,697,584), Hardinge (U.S. Pat. No. 4,276,659), Swanson (U.S. Pat. No. 4,302,855), Weber (U.S. Pat. No. 4,447,915), Murray (U.S. Pat. No. 4,625,722), Frisch (U.S. Pat. No. 4,686,973), Kummer et al. (U.S. Pat. No. 5,092,891), Wilson (U.S. Pat. No. 5,383,932, and Huene (U.S. Pat. No. 5,531,792).

None of the plugs thus far disclosed, however, adequately conforms to the cross-sectional shape of a bone canal without leaving gaps through which cement may extrude and also is unlikely to experience "blowout."

20

### **SUMMARY OF THE INVENTION**

It is therefore an object of the present invention to provide a plug for insertion into a bone canal that is adapted to prevent cement passage therepast.

It is an additional object to provide such a plug that resists being displaced distalward under pressure from cement insertion.

It is a further object to provide a plug that is insertable at a predefined force level.

It is another object to provide a plug having elements that are adapted to closely conform to the wall of a bone canal.

It is yet an additional object to provide a method of making such a bone canal plug.

5 It is yet a further object to provide a method of inserting such a bone canal plug.

These objects and others are attained by the devices, systems, and methods of the present invention. A first aspect of the invention comprises a plug adapted for insertion into a bone canal. The plug has a plurality of interleaving arms that are disposed about an  
10 insertion axis that extends from a first end of the plug. The arms are affixed at a second end and are flarable at the first end. In an insertion position the arms are relatively close packed; in a flared position the arms are radially flared, each arm having a portion remaining in overlapping relation to an adjacent arm.

In a particular embodiment each arm has interleaving arms, each having a generally  
15 paisley-like shape in axial cross section, oriented so that the head section faces generally toward the insertion axis and the tail section faces generally radially outward.

Also in a preferred embodiment the plug has an axial cross-sectional shape commensurate with that of its intended site; for example, for use in a femur, a generally oval cross section is preferred. This shape is not intended as a limitation, however, as other shapes  
20 will be known by one of skill in the art to be preferable for different intended sites.

The bore is adapted to receive an expansion member for separating the arms upon a progressive insertion thereof along the insertion axis. In a preferred embodiment the

expansion member comprises a member tapering outward from a second end, such as a tapered plug, a screw, or a ratchet-type member.

The invention further comprises a method for preventing flowing material from extruding beyond a predetermined location in a bone tunnel. The method comprises the steps  
5 of inserting a bone plug such as described above into a bone canal to a desired location. Next the arms are opened to a position wherein the arms are flared. The paisley-like shape permits each arm tail section to remain in overlapping relation to an adjacent arm, thus preventing flowing material from escaping into the tunnel beyond the plug. The arms are continued to be flared until the plug is securely pressed against a wall of the bone tunnel. The plug can  
10 be inserted either second or first end first, with the expansion member, respectively, either inserted or pulled into the plug's bore.

The features that characterize the invention, both as to organization and method of operation, together with further objects and advantages thereof, will be better understood  
15 from the following description used in conjunction with the accompanying drawing. It is to be expressly understood that the drawing is for the purpose of illustration and description and is not intended as a definition of the limits of the invention. These and other objects attained, and advantages offered, by the present invention will become more fully apparent as the description that now follows is read in conjunction with the accompanying drawing.

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### **BRIEF DESCRIPTION OF THE DRAWINGS**

**FIG. 1** is a side perspective view of an expandable bone plug and an expansion member.

**FIG. 2** is a side cross-sectional view of the bone plug of FIG. 1 along 2-2.

**FIG. 3** is a side perspective view of an alternate embodiment of an expandable bone plug and an expansion member.

**FIG. 4** is a side cross-sectional view along 4-4 of FIG. 3.

5 **FIG. 5** is a top plan view of FIG. 3.

**FIG. 6** is a side perspective view of another embodiment of an expandable bone plug.

**FIG. 7** is a top plan view of FIG. 6.

**FIG. 8** is a side perspective view of an additional embodiment of an expansion member.

10 **FIG. 9A** is an axial cross-sectional view of a duplex bone plug.

**FIG. 9B** is a side perspective view of a duplex bone plug with coupled expansion members.

**FIG. 10A** illustrates the bone plug of FIG. 3 inserted into a bone canal.

15 **FIG. 10B** illustrates the bone plug arms being expanded radially outward with a drawing of an expansion member into the plug bore by a manipulator.

**FIG. 11A** illustrates the bone plug of FIG. 3 inserted into a bone canal.

**FIG. 11B** illustrates the bone plug arms being expanded radially outward with an insertion of an expansion member.

**FIG. 12** is a top plan view of a tripartite differentially expandable bone plug.

20 **FIG. 13** is a side perspective view of a tripartite differentially deployable bone plug.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A description of the preferred embodiments of the present invention will now be presented with reference to FIGS. 1-13.

A first embodiment of a bone plug system **10'** of the present invention is illustrated in FIGS. 1 and 2; a second embodiment **10** of the present invention is illustrated in FIGS. 3-5; a third embodiment **10''** is illustrated in FIGS. 6 and 7. The systems **10',10** comprise, respectively, a plug member **20',20** that has an axial bore **206',206**. In the first embodiment **10'** the bore **206'** extends through from the first end **202'** to a second end **204'** that is generally axially opposed to the first end **202'**; in the second embodiment, the bore **206** extends from the first end **202** but not completely through to the second end **204**. In the third embodiment **10''** the plug member **20''** has no axial bore; rather an insertion axis **206''** extends from the first end **202''** to the second end **204''**.

The plug members **20',20** have a plurality of interleaving arms **205',205** disposed about the bore **206',206**. For the plug member **20''** the plurality of arms **205''** are disposed about the insertion axis **206''**. The arms **205',205, 205''** are affixed at their second ends **207',207,207''** adjacent the plug member's second end **204',204, 204''**; the arms **205',205, 205''** are further flarable at their first ends **208',208, 208''** between an insertion position wherein the arms **205',205,205''** are relatively close packed and a position wherein the arms **205',205,205''** are flared, each arm **205',205,205''** remaining in overlapping relation to an adjacent arm (see FIG. 11B).

In a particular embodiment, each arm **205',205,205''** has a generally paisley-like shape in axial cross section (see FIG. 5), with a paisley head section **201** facing generally toward the bore **206** and a paisley tail section **203** facing generally outward. In addition, each



paisley head section **201** is contoured away from the bore **206** in this embodiment to form a generally circular bore **206** in axial cross section when in the close-packed position. In order to ensure that material cannot leak past the plug member **20** during use, the tail sections **203** are sufficiently long that in the flared position each tail section **203** remains in overlapping relation to a portion of an adjacent arm **205**.

In the first embodiment of the plug member **20'** shown in FIGS. 1 and 2, the tail edge **209'** of each arm **205'** tail section **203'** extends generally along the axial direction. In the second embodiment, shown in FIGS. 3-5, the tail edge **209** of each tail section **203** extends generally helically about the axial direction.

As shown in FIG. 3, the plug member **20** further has a pair of deformable circumferential flanges **22** and **23**. The first flange **22** is positioned generally adjacent the second end **204**, and the second circumferential flange **23** is positioned in spaced relation to the first flange **22** between the first flange **22** and the first end **202**. The second flange **23** preferably has a circumference greater than a circumference of the first flange **22**.

Another important feature of the plug member **20',20,20''** is its axial cross-sectional shape, which is adapted to conform to the shape of the intended placement site. When the plug is for use intrafemorally, for example, the plug member **20** preferably has a generally oval outer shape in axial cross section. For other bone tunnels, it is obvious to one of skill in the art that alternate cross-sectional shapes could be envisaged.

The bone plug systems **10',10,10''** of the present invention further comprise an expansion member **32,30,31** that has an insertion portion **321,301,311** adapted to separate the arms **205',205,205''** upon progressive entry into the bore **206',206** or along the insertion axis **206''**. Preferably the expansion member **32,30,31** tapers outward from a second end

324,304,314 to a first end 322,302,312, and has means for being driven at the first end 322,302,312. In the first embodiment of FIG. 1, the expansion member 32 comprises a truncated conical element adapted for press fitting within the plug member bore 206'. In this embodiment, the conical element 32 has means for resisting a movement out of the plug member bore 206', such as, but not limited to, barbs 323 facing toward the first end 322. In this embodiment the bore 326 has a narrowed portion adjacent the second end 324, and the driving means may comprise an elongated element that is dimensioned for insertion into the bore 326 but not into the narrowed bore portion.

In the second embodiment of FIG. 3, the expansion member 30 comprises a screw having helical outer threads 305 extending from the second end 304, and the plug member 20 has a helical groove 210 along an inner wall defining the bore 206, the groove 210 adapted for mating with the screw threads 305. The driving means may comprise, but is not intended to be limited to, a hexagonal indentation 306 for mating with a hexagonal driver 50 (see FIG. 11B).

It may be appreciated by one of skill in the art that the plugs and expansion members need not necessarily be separate members; they may, for example, be frangibly joined with a first part of the insertion portion already within the bore or adjacent the insertion axis. Deploying then would comprise pressing upon the expansion member or rotating it until the bonds are broken with the plug, with continued insertion enabled.

In another embodiment, expansion member 30 may be inserted with a device adapted to permit insertion until a predetermined torque level is reached, upon which no further insertion is permitted. Such devices are known in the art, and may include a torque wrench. Having a predetermined torque level permits the surgeon to tailor the insertion force to the

state of the patient's bone, which may be determined by, for example, a bone density determination, a knowledge of the patient's medical data, and/or data on the particular bone into which the plug is being inserted.

The above embodiments of the expansion member are intended for use when the plug is inserted into a bone tunnel second end first. However, in another system 11 and method of use the plug 20' is inserted first end 202' first (see FIGS. 10A and 10B). In order to deploy the arms 205', the expansion member must be pulled into the bore 206' from a distal end of the bone tunnel 42. This is accomplished by means of an expansion unit that comprises an elongated manipulator 33 that has an axial dimension sufficiently small to pass through the plug member's bore 206'. The expansion unit also comprises an expansion member 34 that is engagable with, such as being frangibly affixed at, a second end 344 to a first end 332 of the manipulator 33.

The expansion member 34 has an insertion portion 341 adjacent the second end 344 that is adapted to separate the arms 205' upon the manipulator's being pulled in a proximal direction to progressively move the expansion member's insertion portion 341 into the bore 206' (FIG. 10B). The manipulator 33 is detachable from the expansion member 34 upon the expansion member's being inserted as far as possible given the dimensions of the bone tunnel 42 into the plug member's bore 206'.

Another embodiment of an expansion member 36 (FIG. 8) permits the insertion of a bone plug to a desired predetermined force level. This expansion member 36 is based upon the concept of a shear pin, and includes a generally conical body 360 tapering from a first end 362 to a second end 364. A radial hole 366 is dimensioned to house a pin 361, which is adapted to break at a predetermined force level. Surrounding the body's first end 362 and

affixed to the pin **361** is a collar **365**, which has means for being driven by, for example, a hex driver; alternatively, the collar **365** may be affixed to a driving device. The collar **365** is dimensioned to freely rotate about the body's first end **362**.

In use, then, when the driver is turned in order to insert the expansion member **36** into the plug, rotation is permitted until the predetermined force level is reached, at which point the pin **361** breaks, and the collar **365** will continue to rotate independently of the body **360**. The driver and collar **365** can then be removed.

A fourth embodiment of the bone plug includes a duplex plug member **22** that is essentially a composite of two of the above-described plugs, second end to second end (FIG. 9A). The duplex plug member **22** has two outer ends **222,224** and a first axial bore **226** that extends from a first outer end **222** and a second axial bore **227** that extends from a second outer end **224**. Preferably a narrower third axial bore **228** is positioned in connecting relation to the first and second axial bores **226,227** to form a unitary axial bore that extends between the outer ends **222,224**.

The duplex plug member **22** further has a first **225** and a second **223** set of interleaving arms disposed about the first and the second bores **226,227**. The arms are affixed at a central portion **221** between the outer ends **222,224**, and each set of arms is separable at their outer ends between an insertion position wherein the arms are relatively close packed and a position wherein the arms are flared, each arm remaining in overlapping relation to an adjacent arm in a set.

This bone plug system also includes at least one expansion member that has an insertion portion adapted to separate one set of arms upon progressive entry into one of the bores. In a preferred embodiment a combination of the two systems discussed above is used

with one each of the expansion members 32,34, with the expansion member 34 being inserted from the distal end 224 (as in FIGS. 10A and 10B) and the expansion member 32 then inserted from the proximal end 222 (as in FIGS. 11A and 11B).

Alternatively, the duplex plug 22 may also be expanded by means of coupled  
5 expansion members (FIG. 9B). In this embodiment a bottom expansion section 34 tapers toward a bottom end and has a bore 340 therethrough. Bottom expansion section 34 is insertable into the plug's distal bore 227 and is dimensioned to expand arms 223 upon progressive insertion thereinto.

A rod 341 is provided that has a length at least sufficient to span the bores  
10 226,227,228. The rod 341 also has a bottom portion dimensioned for freely sliding within the bore 340 that is inserted therethrough, and a bottom protrusion 342 dimensioned to prevent entry into the bore 340. The rod 341 further has a top threaded portion 343 extending above the bottom expansion section 34.

A top expansion section 35 tapers toward a top end and has a bore 350 that is at least  
15 partially threaded, the threads dimensioned for engagement with the rod's top threaded portion 343. Thus, when the top expansion section 35 is rotated in a direction to move it down the rod 341, it is brought closer to the bottom expansion section 34. These coupled motions will serve to expand both sets of arms 223,225, expanding the plug sections 34,35 for plugging a bone canal.

20 A further aspect of the present invention includes a differentially expandable bone plug 23 (FIG. 12), which has an outer periphery 230 disposed about a longitudinal axis 236 and a plurality of, here three, radially arrayed sectors 238. Each sector 238 has a plurality of interleaving arms 235 disposed about the outer periphery 230. The arms 235 are affixed at

their second ends as above, and are flarable at a first end 233 between an insertion position wherein the arms are relatively close packed and a position wherein the arms 235 are flared, each arm 235 remaining in overlapping relation to an adjacent arm 235'. A portion of a first end 233 of each arm 235 is adjacent an insertion axis 239 when in the insertion position.

5 This system includes a plurality of expansion members 31 as above (FIG. 6), each having an insertion portion 311 adapted to flare the arms 235 of a sector 238 upon insertion along the insertion axis 239 from the plug member's first end 232 and progressive movement toward the plug member's second end.

Yet another aspect of the present invention includes a differentially deployable bone  
10 plug 24 (FIG. 13). This bone plug 24 has an outer periphery 240 surrounding an insertion axis 246. A plurality of radially arrayed sectors 241 is provided, each sector 241 differentially movable in an axial direction between an insertion position and a downwardly deployed position. The sectors 241 are supported by a sector frame 242, which has means for supporting each sector, means for preventing an upward movement of each sector above  
15 the insertion position, and means for permitting each sector to be moved downward from the insertion position to the deployed position. An exemplary frame 242 comprises deformable side rails 244 and a central rail 247 upon which each sector 241 is downwardly movable and top rails 245 joining the side rails 244 and central rail 247 that prevent each sector 241 from moving upward above the insertion position. Pressure upon any sector 241 deploys that  
20 sector 241 downward into the bone cavity 42 until a stop 246 in the side rail 244 is met. Such a differentially deployable plug 24 permits a bone cavity having a nonuniform shape to be plugged with a single device.

A method is also provided as an aspect of the present invention for preventing flowing material from extruding beyond a predetermined location in a tunnel or canal 42 of a bone 40. The method comprises the steps of providing a bone plug such as described above, for example, the bone plug 20, 20', or 20".

5 In a first embodiment of the method of use, shown in FIGS. 10A and 10B, the expansion unit is inserted into a bone canal 42, with the expansion member 34 inserted first. The bone plug 20' is next inserted into a bone canal 42 to a desired location, the first end 202' first. Then the manipulator 33 is pulled in a direction out of the bone canal 42, until the expansion member 34 is seated within the plug's bore 205'.

10 In a second embodiment of the method of use, shown in FIGS. 11A and 11B, the bone plug 20 is inserted into a bone canal 42 to a desired location, the second end 204 first.

Next the arms 205 are expanded to a position wherein the arms 205 are flared, such that each arm tail section 203 remains in overlapping relation to an adjacent arm 205'. In a preferred embodiment, this expanding step comprises progressively inserting a tapered member such as screw 30 into the bore 206, the smaller second 304 end inserted first. A  
15 hexagonal driver 50 can be used, for example, to advance the screw 30 into the plug member bore 206. The expanding step is continued until the plug 20 is securely pressed against a wall 43 of the bone tunnel 42.

20 With the bone plug 20' or 20 in place, the next step in the procedure can be undertaken, such as the insertion of an implant, with the tunnel 42 blocked against the passage of flowing material.

It may be appreciated by one skilled in the art that additional embodiments may be contemplated, including differently shaped plugs for different bone canals and differently shaped arms that also continue to overlap upon progressive expansion.

In the foregoing description, certain terms have been used for brevity, clarity, and understanding, but no unnecessary limitations are to be implied therefrom beyond the requirements of the prior art, because such words are used for description purposes herein and are intended to be broadly construed. Moreover, the embodiments of the apparatus illustrated and described herein are by way of example, and the scope of the invention is not limited to the exact details of construction.

Having now described the invention, the construction, the operation and use of preferred embodiment thereof, and the advantageous new and useful results obtained thereby, the new and useful constructions, and reasonable mechanical equivalents thereof obvious to those skilled in the art, are set forth in the appended claims.



**What is claimed is:****1.** A bone plug system comprising:

a plug member having a plurality of interleaving arms disposed about an insertion axis, the arms affixed at a second end and separable at a first end axially opposed to the second end between an insertion position wherein the arms are relatively close packed and an expanded position wherein the arms are radially flared, each arm remaining in overlapping relation to an adjacent arm, each arm having an inner edge, the inner edges of the arms defining the insertion axis when in the insertion position; and

an expansion member operable with the plug member having an insertion portion adapted to flare the arms upon progressive entry from the plug member first end along the insertion axis.

**2.** The bone plug system recited in Claim 1, wherein each arm has a generally paisley-like shape in axial cross section, a paisley head section facing generally toward the insertion axis and a paisley tail section facing generally radially outward.

**3.** The bone plug system recited in Claim 2, wherein each paisley head section is contoured to form a generally circular bore encompassing the insertion axis in axial cross section.

4. The bone plug system recited in Claim 2, wherein the tail sections are sufficiently long that in the expanded position each tail section remains in overlapping relation to an adjacent arm.

5 5. The bone plug system recited in Claim 2, wherein a tail edge of each tail section extends generally axially.

6. The bone plug system recited in Claim 2, wherein a tail edge of each tail section extends in generally helically about the axial direction.

10

7. The bone plug system recited in Claim 1, wherein the plug member further has a deformable circumferential flange adjacent the second end.

8. The bone plug system recited in Claim 7, wherein the flange comprises a first  
15 flange and the plug member further has a second circumferential flange in spaced relation to the first flange between the first flange and the first end, the second flange having a circumference greater than a circumference of the first flange.

9. The bone plug system recited in Claim 1, wherein the plug member has a  
20 generally elliptical axial outer cross-sectional shape.

10. The bone plug system recited in Claim 1, wherein the expansion member tapers outward from a second end to a first end.

11. The bone plug system recited in Claim 10, wherein the expansion member has means for being driven at the first end.

12. The bone plug system recited in Claim 11, wherein the means for being driven  
5 comprises a hexagonal indentation for mating with a hexagonal driver.

13. The bone plug system recited in Claim 11, wherein the means for being driven comprises means for engaging a torque wrench, for permitting a driving of the expansion member until a predetermined torque value is reached.

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14. The bone plug system recited in Claim 11, wherein the means for being driven comprises means for engaging a driver frangibly affixed to the expansion member first end, the frangibility having a predetermined force value, thereby permitting a driving of the expansion member until the predetermined force value is reached.

15

15. The bone plug system recited in Claim 10, wherein the expansion member comprises a screw having helical outer threads extending from the second end and the plug member has a helical groove along an inner wall defining a bore encompassing the insertion axis, the groove adapted for mating with the screw threads.

20

16. The bone plug system recited in Claim 10, wherein the expansion member comprises a truncated conical element adapted for press fitting within the plug member along the insertion axis.

17. The bone plug system recited in Claim 16, wherein the conical element has means for resisting a movement out of the plug member.

18. The bone plug system recited in Claim 17, wherein the movement-resisting means comprises a plurality of barbs protruding from an outer surface, the barbs each canted generally toward the conical element second end.

19. A bone plug having a plurality of interleaving arms disposed about an insertion axis extending from a first end, the arms affixed at a second end and flarable at the first end between an insertion position wherein the arms are relatively close packed and an expanded position wherein the arms are flared, each arm having a generally paisley-like shape in axial cross section, a paisley head section facing generally toward the insertion axis and a paisley tail section facing generally outward, each arm remaining in overlapping relation to an adjacent arm, the plug first end adapted to receive an expansion member along the insertion axis for flaring the arms upon a progressive insertion thereof.

20. A bone plug system comprising:

a plug member having:

an axial bore therethrough extending from a first end to a second end;

a plurality of interleaving arms disposed about the bore, the arms affixed at the second end and flarable at the first end between an insertion position wherein the arms are relatively close packed and an expanded position wherein the

arms are radially flared, each arm remaining in overlapping relation to an adjacent arm; and

an expansion unit comprising:

an elongated manipulator having an axial dimension sufficiently small

5 to pass through the plug member bore; and

an expansion member engagable at a second end with a first end of the manipulator, the expansion member having an insertion portion adjacent the second end adapted to separate the arms upon the manipulator progressively moving the expansion member insertion portion into the bore, the manipulator disengagable from  
10 the expansion member upon completion of the insertion thereof into the plug member bore.

21. The bone plug system recited in Claim 20, wherein the expansion member is frangibly affixed to the manipulator.

15

22. The bone plug system recited in Claim 20, wherein the plug member has an axial outer cross-sectional shape adapted to closely engage a target bone tunnel.

23. The bone plug system recited in Claim 20, wherein the plug member has a  
20 generally elliptical axial outer cross-sectional shape.

24. A bone plug system comprising:  
a duplex plug member having:

two outer ends;

a first axial bore extending from a first outer end;

a second axial bore extending from a second outer end; and

a first and a second set of interleaving arms, one set disposed about

5 each of the first and the second bore, the arms affixed at a central portion between the outer ends, each set of arms separable at the outer ends between an insertion position wherein the arms are relatively close packed and an expanded position wherein the arms are flared, each arm remaining in overlapping relation to an adjacent arm in a set; and

10 at least one expansion member having an insertion portion adapted to separate one set of arms upon progressive entry into one of the bores.

25. The bone plug system recited in Claim 24, wherein:

15 the first and the second axial bores comprise a unitary bore extending from the first outer end to the second outer end; and

the expansion member comprises a first expansion member for insertion into the first axial bore; and

further comprising an expansion unit comprising:

20 an elongated manipulator having an axial dimension sufficiently small to pass through the plug member bore; and

a second expansion member engagable at a second end with a first end of the manipulator, the second expansion member having an insertion portion adjacent the second end adapted to separate the second set of arms upon the

manipulator progressively moving the second expansion member insertion portion into the bore, the manipulator disengagable from the second expansion member upon completion of the insertion thereof into the plug member bore.

5           **26.**     The bone plug system recited in Claim 24, wherein the first and the second axial bore are in communication and wherein the expansion member comprises:

                  a first expansion member having an insertion portion adapted to flare the first set of arms upon progressive entry into the first axial bore;

                  a second expansion member having an insertion portion adapted to flare the  
10           second set of arms upon progressive entry into the second axial bore; and

                  cooperating means joining the first and the second expansion members adapted to draw the second expansion member into the second axial bore upon a drawing of the first expansion member into the first axial bore.

15           **27.**     A bone plug system comprising:

                  a plug member having an outer periphery disposed about a longitudinal axis and a plurality of radially arrayed sectors, each sector having a plurality of interleaving arms disposed about the outer periphery, the arms affixed at a second end and flarable at a first end between an insertion position wherein the arms are relatively close packed and an expanded  
20           position wherein the arms are flared, each arm remaining in overlapping relation to an adjacent arm, a portion of a first end of each arm adjacent a longitudinally extending insertion axis when in the insertion position: and

a plurality of expansion members, each having an insertion portion adapted to flare the arms of a sector upon insertion along the insertion axis and progressive movement toward the plug member second end.

5           **28.**    A bone plug having an outer periphery surrounding an insertion axis and comprising:

a plurality of radially arrayed sectors, each sector differentially movable in an axial direction between an insertion position and a downwardly deployed position; and

10           a sector frame having means for supporting each sector, means for preventing an upward movement of each sector above the insertion position, and means for permitting each sector to be moved downward from the insertion position to the deployed position.

**29.**    A method for making a bone plug comprising the steps of:

15           providing a unitary generally cylindrical member having an axial cross section dimensioned for insertion into a bone canal, the member comprising a deformable biocompatible material; and

20           forming a plurality of interleaving arms disposed about an insertion axis, the arms affixed at a second end and flarable at a first end axially opposed to the second end, each arm having a generally paisley-like shape in axial cross section, a paisley head section facing generally toward the insertion axis and a paisley tail section facing generally outward.

**30.**    The method recited in Claim 29, wherein the arm-forming step further comprises forming the arms to extend generally in an axial direction relative to the bore.



31. The method recited in Claim 29, wherein the arm-forming step further comprises forming the arms to extend generally in a helical path around the bore.

32. A method for preventing flowing material or material injected under pressure  
5 from extruding beyond a predetermined location in a bone tunnel, the method comprising the steps of:

providing a bone plug having a plurality of interleaving arms disposed about  
an insertion axis extending from a first end, the arms affixed at a second end and flarable at  
a first end axially opposed to the second end, wherein each arm has a generally paisley-like  
10 shape in axial cross section, a paisley head section facing generally toward the insertion axis  
and a paisley tail section facing generally outward;

inserting the bone plug into a bone canal to a desired location;

flaring the arms to a position wherein the arms are flared, each arm tail section  
remaining in overlapping relation to an adjacent arm; and

15 continuing the flaring step until the plug arms are securely pressed against a  
wall of the bone tunnel.

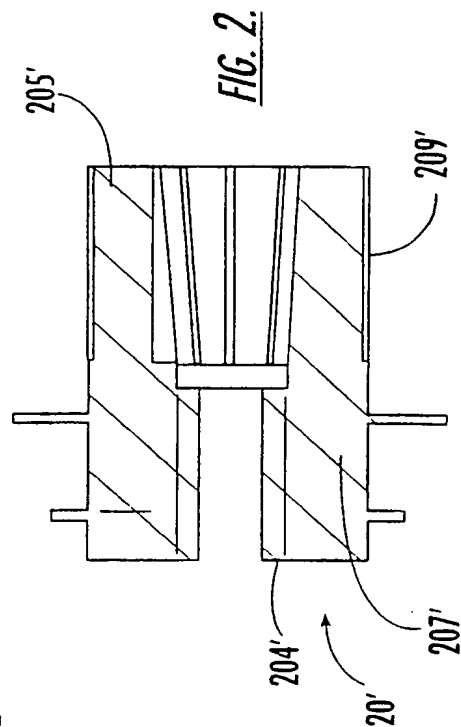
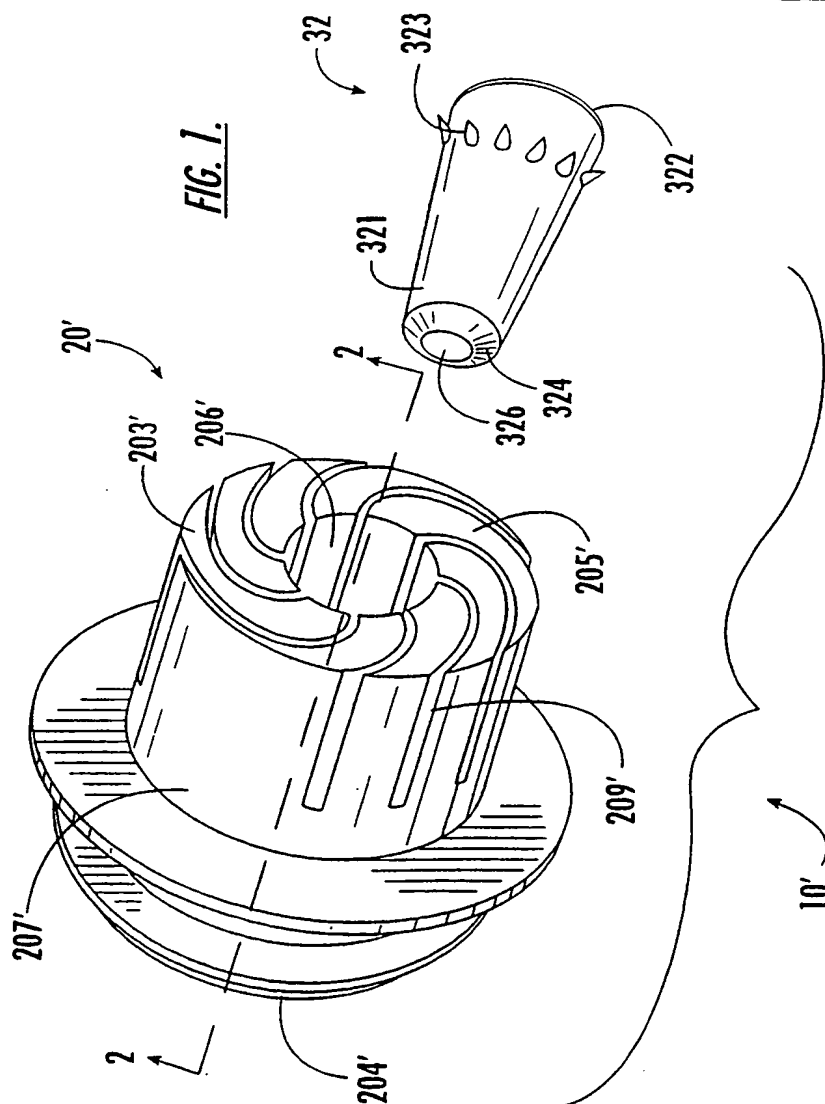
33. The method recited in Claim 32, wherein the plug has a shape in axial cross  
section adapted for achieving an optimal fit within the bone tunnel.

20 34. The method recited in Claim 33, wherein the plug axial cross-sectional shape  
comprises an oval shape.

35. The method recited in Claim 32, wherein the expanding step comprises progressively inserting a tapered member along the insertion axis, a smaller end inserted first.

36. The method recited in Claim 32, wherein the inserting step comprises  
5 advancing the first end of the bone plug into the bone tunnel first.

37. The method recited in Claim 32, wherein the inserting step comprises advancing the second end of the bone plug into the bone tunnel first.



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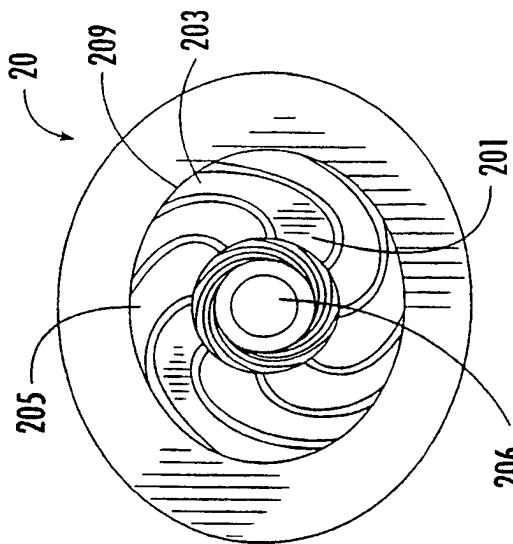


FIG. 5.

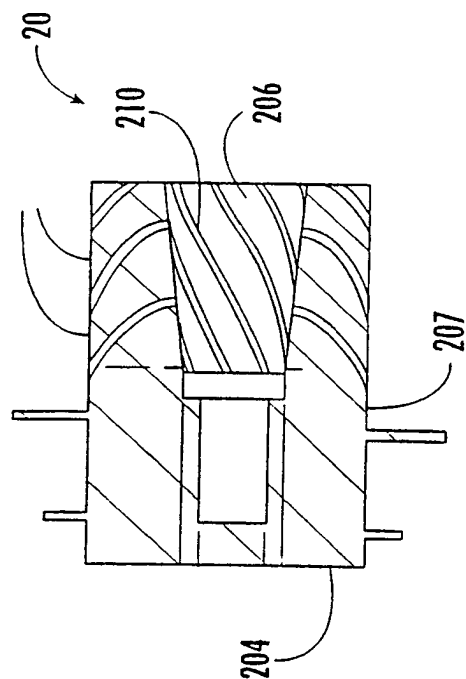


FIG. 4.

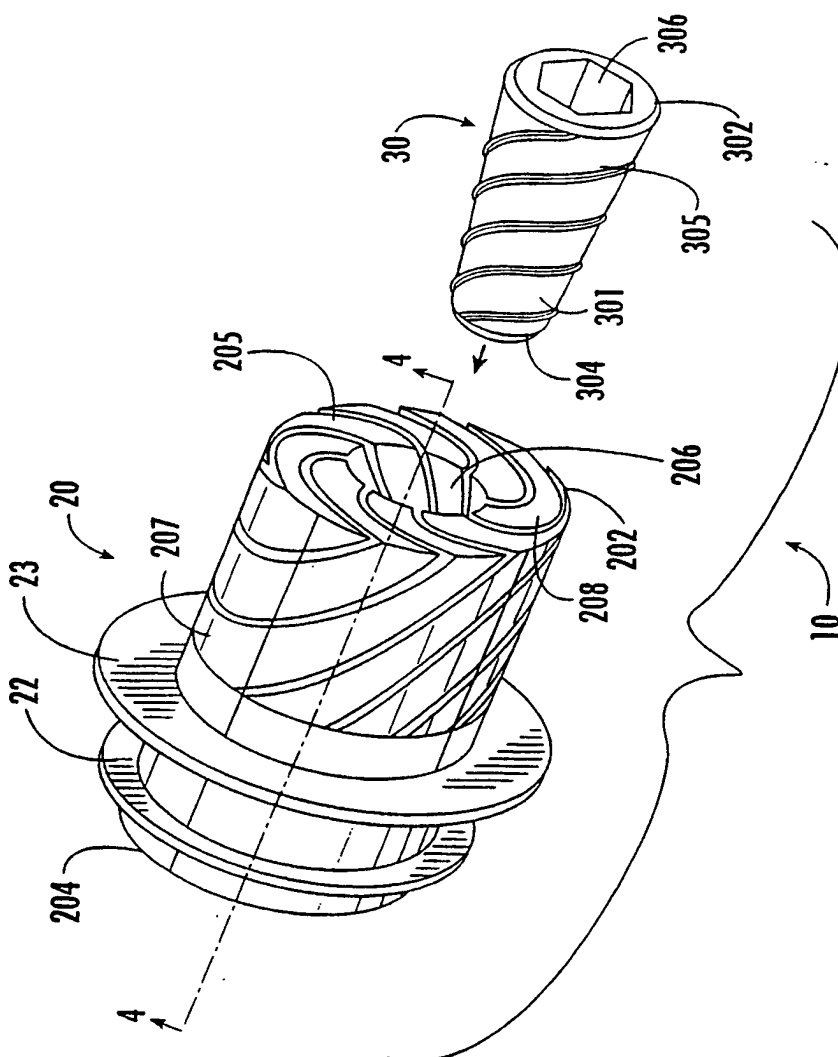


FIG. 3.

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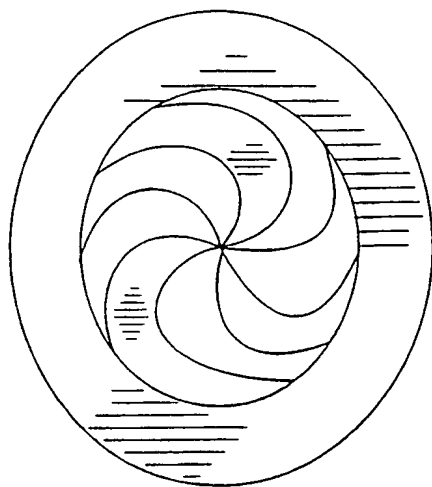


FIG. 7.

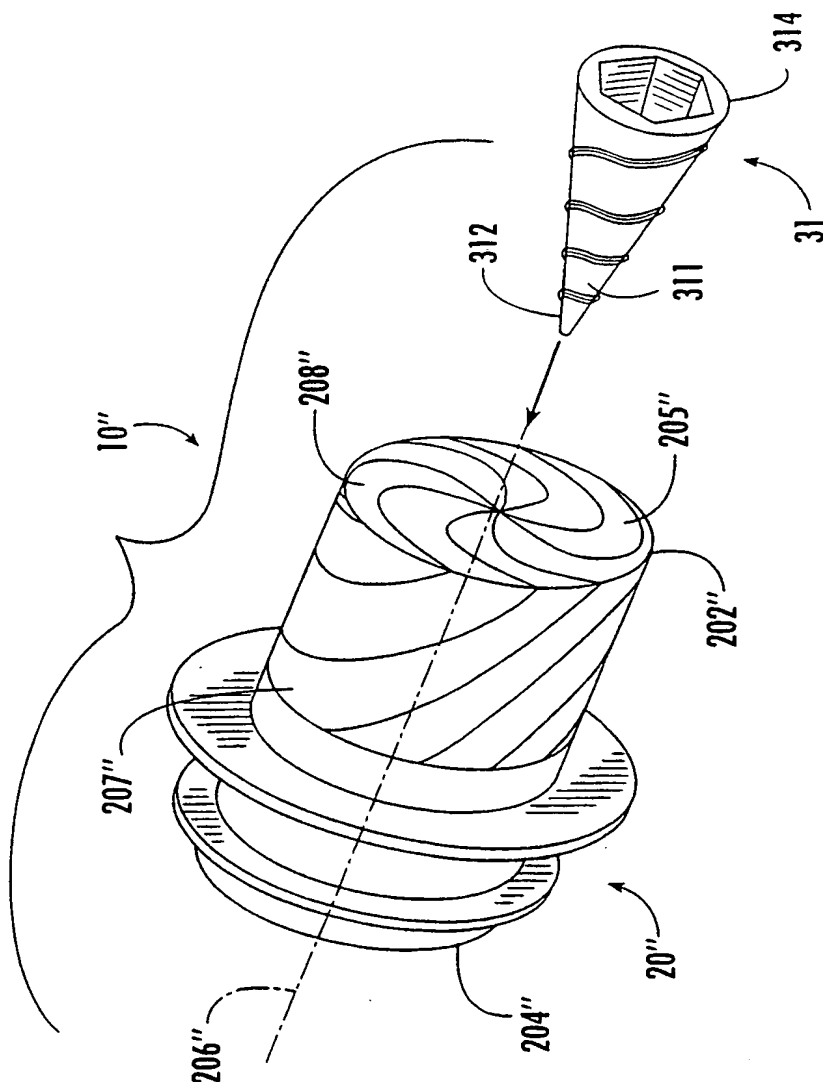


FIG. 6.

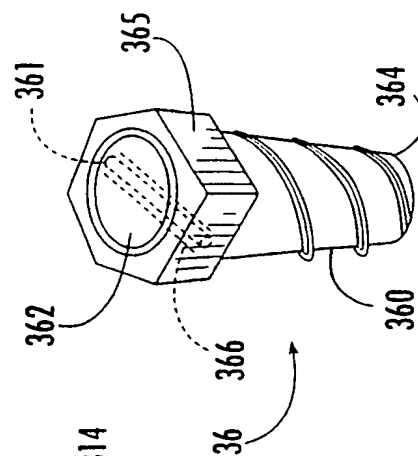
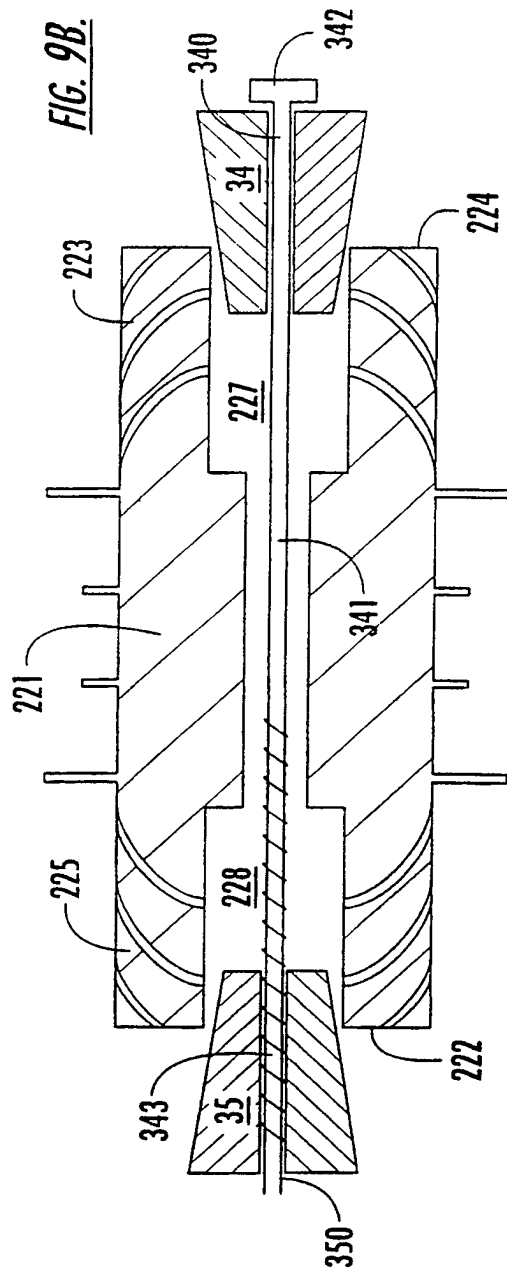
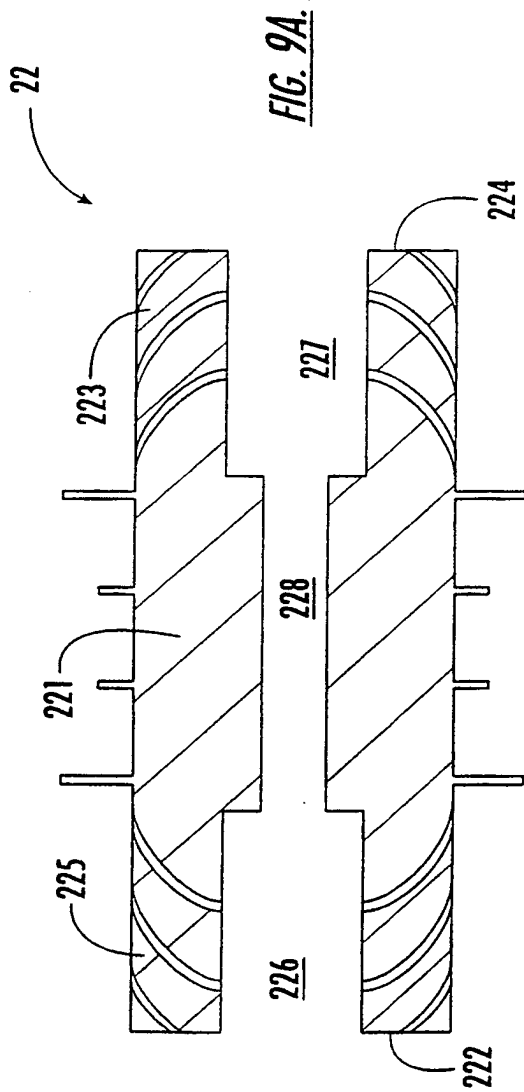
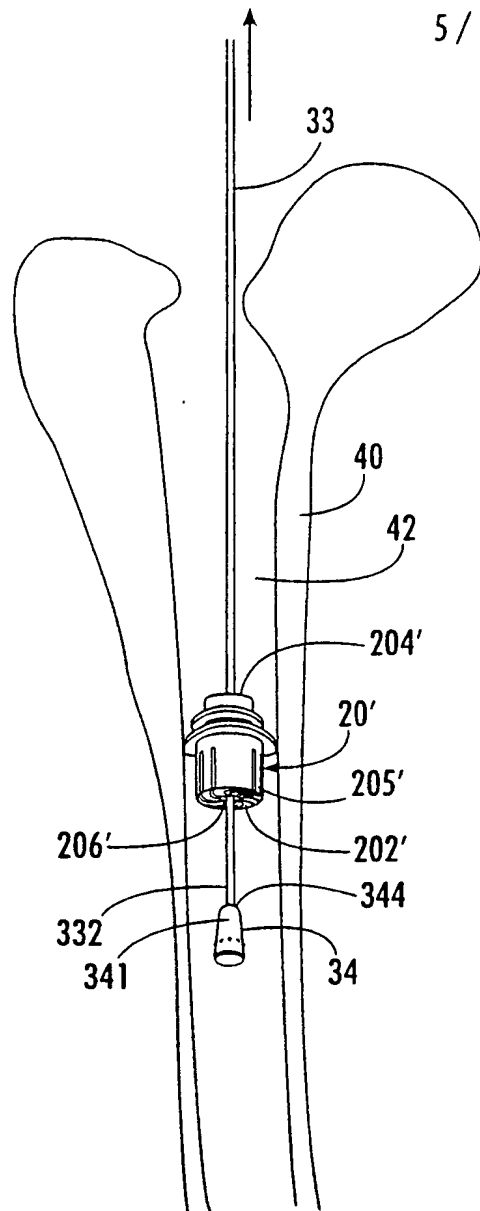


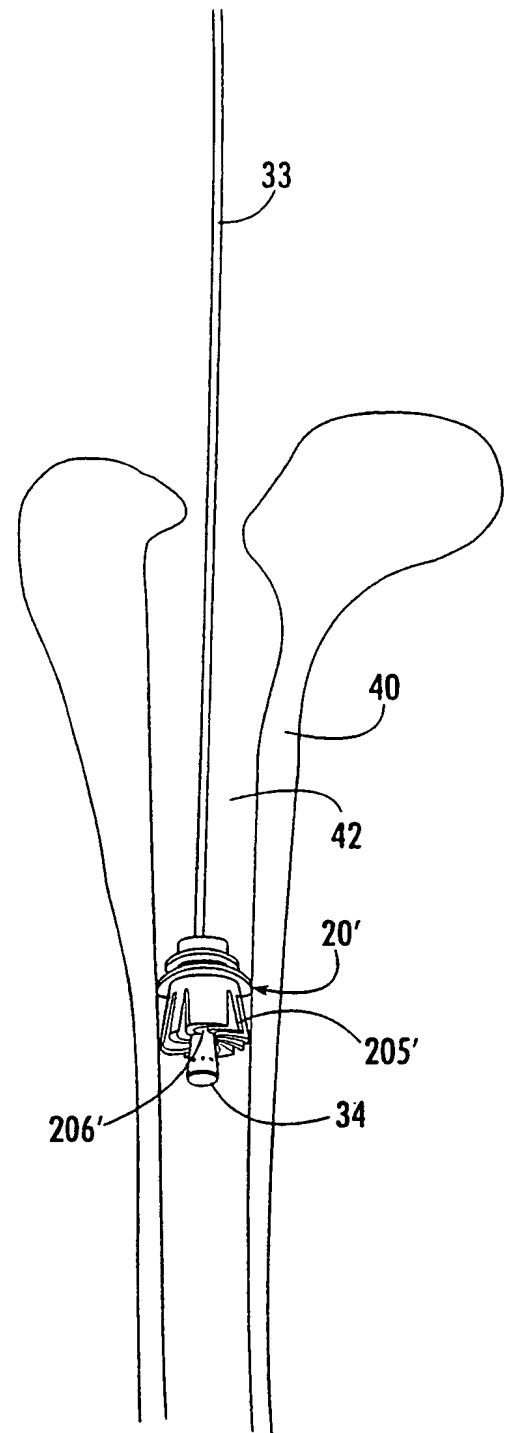
FIG. 8.



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**FIG. 10A.**



**FIG. 10B.**

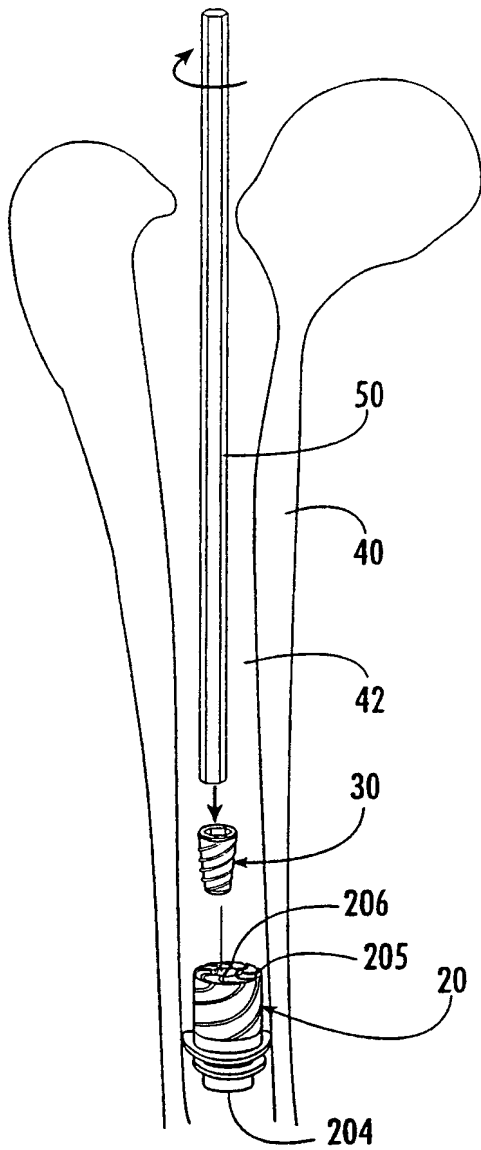


FIG. 11A.

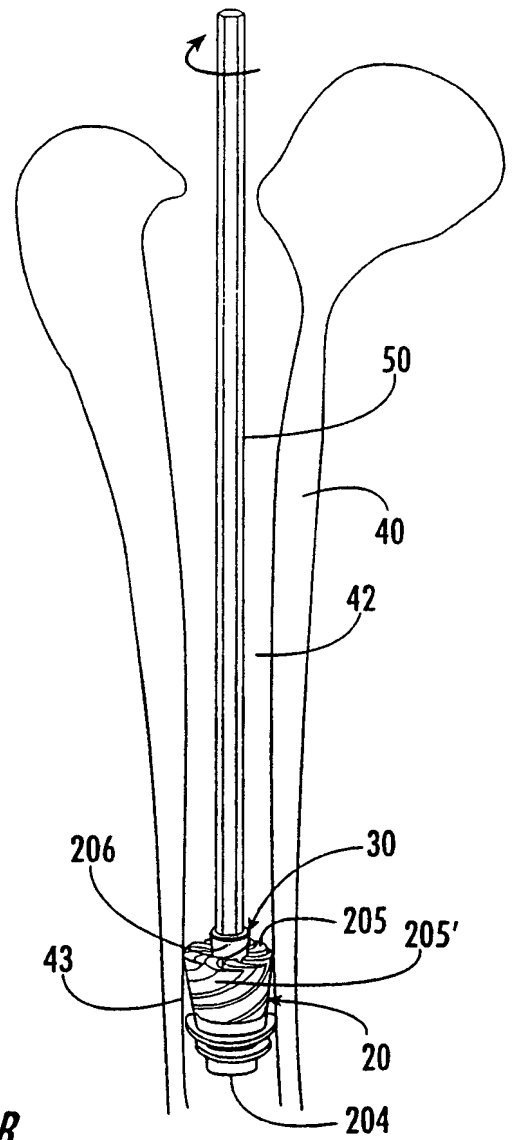
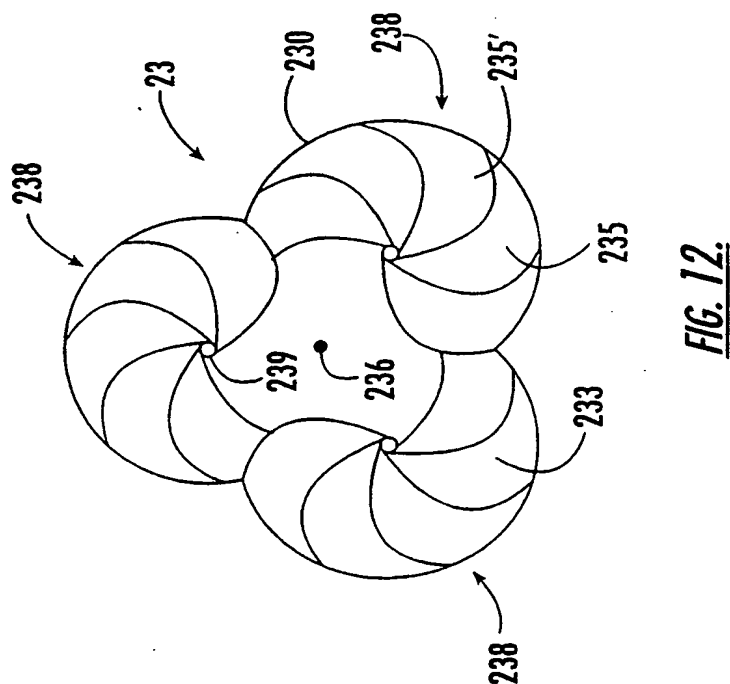
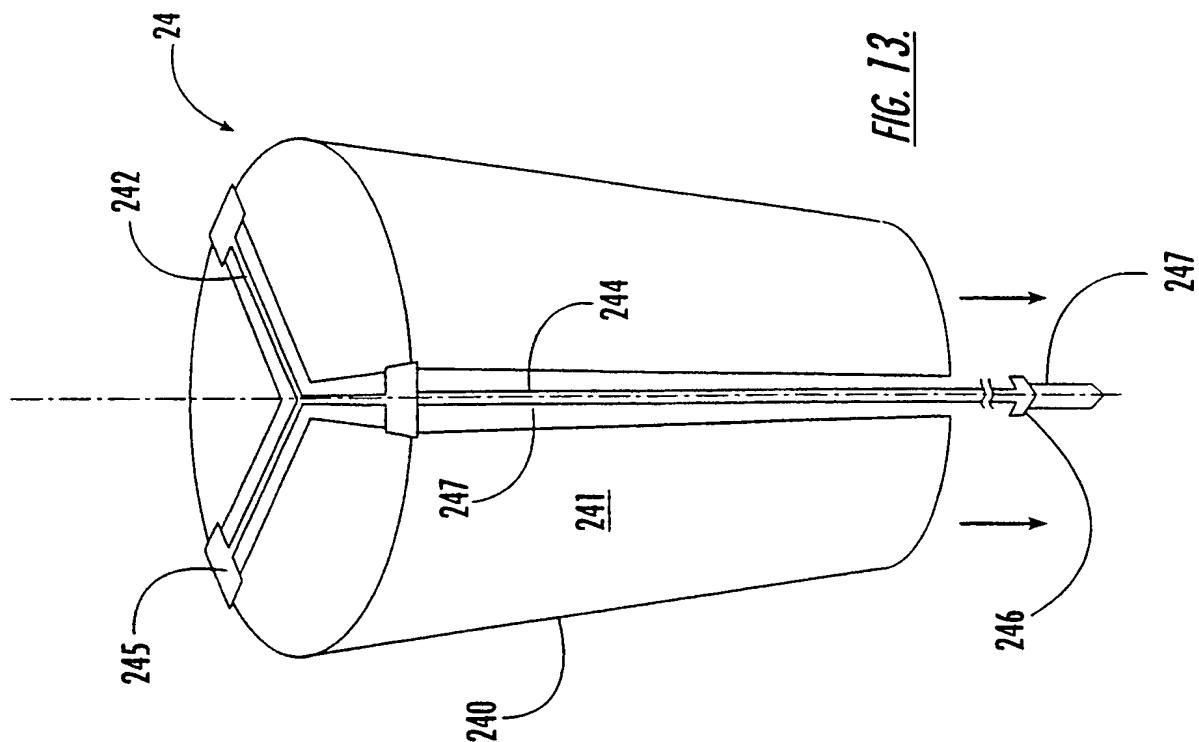


FIG. 11B.



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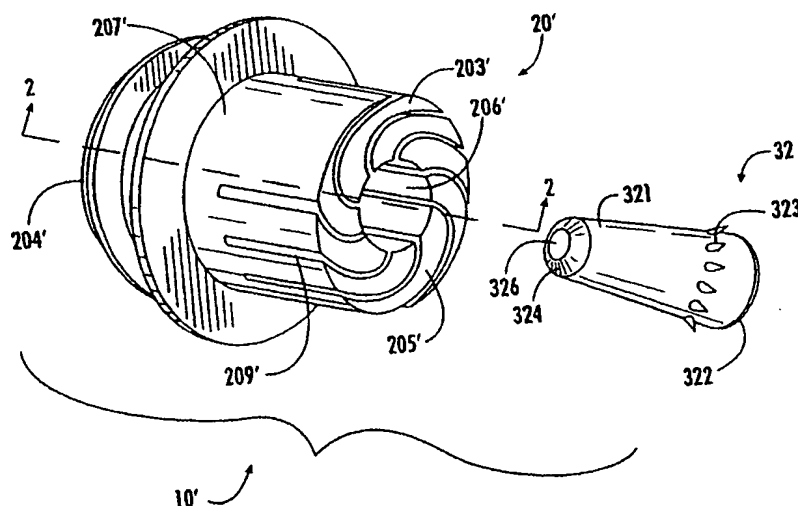


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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>A61F 2/30, 2/46</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 00/24341</b> <b>(43) International Publication Date:</b> 4 May 2000 (04.05.00)
<b>(21) International Application Number:</b> PCT/US99/24041 <b>(22) International Filing Date:</b> 21 October 1999 (21.10.99) <b>(30) Priority Data:</b> 09/178,336      23 October 1998 (23.10.98)      US <b>(71)(72) Applicant and Inventor:</b> PIERSON, Raymond, H., III. [US/US]; Suite 102, 62 West Columbia Street, Orlando, FL 32806 (US). <b>(72) Inventor:</b> JUSTIN, Daniel, F.; 185 North Winding Way, Logan, UT 84321 (US). <b>(74) Agent:</b> HARTT, Jacqueline, E.; Allen, Dyer, Doppelt, Milbrath & Gilchrist, P.A., Suite 1401, 255 South Orange Avenue, P.O. Box 3791, Orlando, FL 32802-3791 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>  <b>(88) Date of publication of the international search report:</b> 21 September 2000 (21.09.00)

**(54) Title:** BONE CANAL PLUG AND METHOD OF MAKING**(57) Abstract**

A plug system (10', 10, 10'') for preventing material from extruding beyond a desired location in a bone tunnel (42) includes a plug member (20', 20, 22'') that has an insertion axis (2, 4, 206') extending from a first end (202', 202, 202'') and a plurality of interleaving arms (205', 205, 205'') disposed about the insertion axis. The arms (205', 205, 205'') are affixed at a second end (204', 204, 204'') and are flarable at the first end (202', 202, 202'') between an insertion position wherein the arms (205', 205, 205'') are relatively close packed and a position wherein the arms (205', 205, 205'') are flared. A portion of each arm (205', 205, 205'') remains in overlapping relation to an adjacent arm (205', 205, 205''). The system (10', 10, 10'') further includes an expansion member (32, 30, 31) that has an insertion portion (321, 301, 311) adapted to separate the arms (205', 205, 205'') upon progressive entry along the insertion axis (2, 4, 206') from the first end m(202', 202, 202''). In use the plug (20', 20, 20'') can be inserted either first end (202', 202, 202'') first, with the expansion member (32, 30, 31) drawn into the plug (20', 20, 20'') by a manipulator (33, 50), or second end (204', 204, 204'') first, with the expansion member (32, 30, 31) driven along the insertion axis (2, 4, 206').

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Inter      nal Application No  
PCT/US 99/24041

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